

LANTHANIDE INDUCED SHIFT NMR STUDIES OF SOME
BICYCLO-[6.1.0]-NONA-2,4,6-TRIENE DERIVATIVES

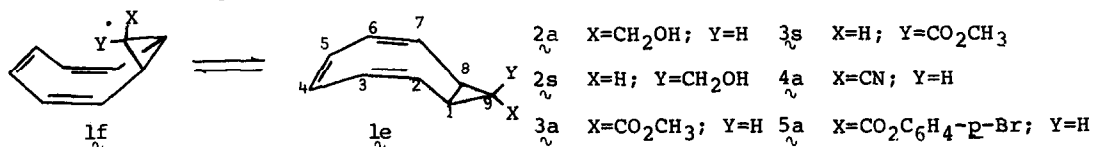
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The chemical behavior of bicyclo-[6.1.0]-nona-2,4,6-triene and its derivatives has been the subject of many investigations.¹ Much of the activity has been concerned with mechanistic studies of the thermolytic reactions of this system leading to the 8,9-dihydroindene system.² Most current mechanistic schemes invoke a pre-equilibrium between the two possible conformers, 1_e and 1_f , as precursors to subsequent thermolytic events. If steric constraints prevent attainment of the folded conformation, 1_f , ($Y \neq H$), then energetics dictate a profile which is different from the sterically unencumbered situation when the extended form, 1_e , ($Y=H$), has ready access to 1_f .^{3,4} To date little evidence is available bearing on the equilibrium between 1_e and 1_f . We, therefore, wish to address ourselves to this conformational problem by showing that the extended conformation is preferred.



Five 9-substituted bicyclo-[6.1.0]-nona-2,4,6-triene derivatives were studied. The anti- and syn- carbomethoxy derivatives, 3_a and 3_s respectively, were prepared and separated by standard procedures.⁵ The corresponding hydroxymethyl derivatives, 2_a and 2_s , were then obtained from 3_a and 3_s by reduction with LiAlH_4 .^{6,7} The anti-nitrile, 4_a , was prepared as described in the literature.^{8,9}

The lanthanide induced shift (LIS) NMR studies were conducted on 0.5 to 1.0 M solutions of the materials in CDCl_3 , utilizing either $\text{Eu}(\text{dpm})_3$ or $\text{Eu}(\text{fod})_3$ as the shift reagents.¹⁰ Table I displays the least squares slopes of the lines generated when the shift (in ppm) of a specific proton is plotted against the mole ratio of shift reagent to substrate, and subsequently standardized to H_9 , so that the similarities and differences are easily discernible. The shifts are observed to be nearly independent of shift reagent used as shown by the values obtained for 3_a and 3_s . This allows a direct comparison of all the structures.

Table I
Shifts Relative to H_9 Shifts

Compound	2_a^a	2_s^a	3_a^a	3_s^a	3_a^b	3_s^b	4_a^b
Ratio							
H_x/H_9	1.74	1.56	1.06	0.75	1.14	0.73	----
H_9/H_9	1.00	1.00	1.00	1.00	1.00	1.00	1.00
$\text{H}_{1,8}/\text{H}_9$	0.68	0.38	1.03	0.43	1.02	0.50	0.65
$\text{H}_{2,7}/\text{H}_9$	0.32	0.57	0.32	0.74	0.33	0.77	0.34
$\text{H}_{3,6}/\text{H}_9$	0.13	0.17	0.13	0.22	0.11	0.29	0.11
$\text{H}_{4,5}/\text{H}_9$	0.12	0.14	0.01	0.25	0.01	0.21	0.11
Scale Factor ^c	15.17	17.14	14.04	13.46	12.91	7.10	4.88

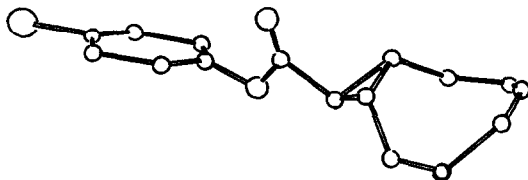
^a $\text{Eu}(\text{dpm})_3$ used. ^b $\text{Eu}(\text{fod})_3$ used. ^cMultiplication of the relative shifts by the scale factor produces the observed gradients in CDCl_3 .

Table II represents the best fits of the data with respect to the theoretically possible structures i.e. either folded, 1_f , or extended, 1_e . The procedure followed was to locate the Eu atom with respect to the cyclopropyl protons, $\text{H}_{1,8,9}$, and then calculate¹¹ the expected shifts of the remaining protons, H_{2-7} , assuming either a folded or extended conformation. For carbinols 2_a and

Table II
Reliability of Folded and Extended Structures

Derivative & Shift Reagent	% ^R Folded (lf)	% ^R Extended (le)
2 _v a + Eu(dpm) ₃	13.4	3.4
2 _v s + Eu(dpm) ₃	96.2	7.0
3 _v a + Eu(dpm) ₃ [or Eu(fod) ₃]	36.3 [36.8]	9.6 [9.6]
3 _v s + Eu(dpm) ₃ [or Eu(fod) ₃]	90.9 [94.3]	6.9 [6.6]
4 _v a + Eu(fod) ₃	23.8	4.9

2_vs the methylene shifts (H_x) were also used to locate the Eu atom. The discrepancies between the calculated and observed values are displayed in terms of a reliability factor¹² (R) and listed in Table II. In all cases the extended conformation gives significantly superior fits, indicating that in solution all five compounds exist primarily in the extended conformation. In the solid state the *p*-bromophenyl ester 5_va has also been found to exist in the extended conformation with its carbonyl *anti* to H₉ (see figure).¹³ The same is true for *anti*-carbomethoxy ester 3_va in solution as judged from the LIS data.



The extended conformation is to be expected for 2_vs and 3_vs since placement of the substituent in the cavity of the ring would be sterically prohibitive.^{1,4} The extended conformation exhibited by 2_va, 3_va, and 4_va has been intuitively assumed until now and it is satisfying to have confirmatory evidence. Only in the case of the *anti*-ester 3_va with Eu(dpm)₃ was it possible to affect a modest improvement in the fit by averaging some of the folded conformation (5%) into the calculations. Since the errors associated with this procedure are large and the improvements marginal, we feel that a significant extent (<5%) of the folded conformation is not present in any of the *anti* isomers.

In locating the Eu atom several assumptions were made. In all cases the

metal was assumed to lie in the symmetry plane of the molecule. For the nitrile $4a$, it was assumed to lie along the C \equiv N bond¹⁴ axis and for the esters it was assumed to lie along the C=O bond axis.¹⁰ For carbinols $2a$ and $2s$ the Eu-O distance and the C-O-Eu angle were adjusted. It is interesting to note that for $2s$ the X group adopts a conformation with the oxygen syn to H₉ while with $2a$ the oxygen is anti to H₉ as found in the crystalline state.¹³ Molecular models suggest that the O/H_{2,7} interactions are probably responsible for this reversal in $2s$.

References

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7. $2a$ is a low melting solid, mp=52-3°, in contrast to the literature report of an oil,⁶ while $2s$ is an oil having physical properties consistent with its structure: nmr (τ): 4.0 (m, 6H), 6.30 (d, 2H), 8.43 (m, 3H); u.v. EtOH λ_{max} =247 (log ϵ =3.46).
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9. Attempts to obtain the syn-nitrile yielded only a small amount which was unsuitable for our purposes. We wish to thank Prof. A. G. Anastassiou for the procedures leading to $4a$.
10. Obtained from commercial sources and purified by repeated sublimations. See A. F. Cockrill, G. L. O. Davies, R. C. Harden and D. M. Rackham, Chem. Rev., **73**, 553 (1973).
11. The calculations were performed using the Robertson-McConnell equation using the Eu-X bond as the principal magnetic axis.
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13. The ester, $5a$, mp=79-9°, crystallizes in the orthorhombic space group Pca2₁ with unit cell dimensions a = 14.63Å, b = 5.83Å, c = 16.74Å and four molecules per unit cell (ρ obsd = 1.48, ρ calcd = 1.47 g/cc). Intensities of 848 independent reflections were estimated visually from multiple film Weissenberg photographs (Cu-K α), corrected for Lorentz and polarization effects, solved utilizing the heavy atom method by Patterson and Fourier techniques and refined by least squares to R = 0.14.
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15. We thank the University of Rhode Island Research Committee and the University of New Hampshire Computer Center for financial support.